



100-1541-19 DECEMBER 19, 2001

JC17 REC'D PTO 19 DEC 2001

U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE		ATTORNEY'S DOCKET NUMBER 119464
TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. 371		
INTERNATIONAL APPLICATION NO. PCT/FR00/01692	INTERNATIONAL FILING DATE 20 June 2000 (20-06-00)	PRIORITY DATE CLAIMED 21 June 1999 (21.06.99)
TITLE OF INVENTION HYDROPHOBIC CATIONIC DISPERSIONS STABILIZED BY MALEMIDE COPOLYMERS OF LOW MOLECULAR MASS, FOR THE SIZING OF PAPER		
APPLICANT(S) FOR DO/EO/US BETREMIEUX Isabelle, DUMOUSSEAUX Christophe, VERGE Christophe, FERET Bruno, FLAT Jean-Jacques		
<p>Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:</p> <ul style="list-style-type: none"> <li>1. <input checked="" type="checkbox"/> This is a <b>FIRST</b> submission of items concerning a filing under 35 U.S.C. 371.</li> <li>2. <input type="checkbox"/> This is a <b>SECOND</b> or <b>SUBSEQUENT</b> submission of items concerning a filing under 35 U.S.C. 371.</li> <li>3. <input checked="" type="checkbox"/> This express request to begin national examination procedures (35 U.S.C. 371(f)) at any time rather than delay examination until the expiration of the applicable time limit set in 35 U.S.C. 371(b) and PCT Articles 22 and 39(1).</li> <li>4. <input checked="" type="checkbox"/> A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date.</li> <li>5. <input checked="" type="checkbox"/> A copy of the International Application as filed (35 U.S.C. 371(c)(2)) <ul style="list-style-type: none"> <li>a. <input type="checkbox"/> is transmitted herewith (required only if not transmitted by the International Bureau).</li> <li>b. <input checked="" type="checkbox"/> has been transmitted by the International Bureau.</li> <li>c. <input type="checkbox"/> is not required, as the application was filed in the United States Receiving Office (RO/US).</li> </ul> </li> <li>6. <input checked="" type="checkbox"/> A translation of the International Application into English (35 U.S.C. 371(c)(2)).</li> <li>7. <input checked="" type="checkbox"/> Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)) <ul style="list-style-type: none"> <li>a. <input type="checkbox"/> are transmitted herewith (required only if not transmitted by the International Bureau).</li> <li>b. <input checked="" type="checkbox"/> have been transmitted by the International Bureau.</li> <li>c. <input type="checkbox"/> have not been made; however, the time limit for making such amendments has NOT expired.</li> <li>d. <input type="checkbox"/> have not been made and will not be made.</li> </ul> </li> <li>8. <input checked="" type="checkbox"/> A translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).</li> <li>9. <input type="checkbox"/> An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).</li> <li>10. <input type="checkbox"/> A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).</li> </ul> <p>Items 11. to 16. below concern document(s) or information included:</p> <ul style="list-style-type: none"> <li>11. <input type="checkbox"/> An Information Disclosure Statement under 37 CFR 1.97 and 1.98.</li> <li>12. <input type="checkbox"/> An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.</li> <li>13. <input checked="" type="checkbox"/> A <b>FIRST</b> preliminary amendment. <ul style="list-style-type: none"> <li><input type="checkbox"/> A <b>SECOND</b> or <b>SUBSEQUENT</b> preliminary amendment.</li> </ul> </li> <li>14. <input type="checkbox"/> A substitute specification.</li> <li>15. <input type="checkbox"/> A change of power of attorney and/or address letter.</li> <li>16. <input checked="" type="checkbox"/> Other items or information:</li> </ul> <p>Translation of Amendments to claims under PCT Article 19 are enclosed as AMENDED SHEET pages 21 to 23. All of the claims are being amended via enclosed preliminary Amendment.</p>		

U.S. APPLICATION NO. (if known, see 37 CFR 1.5)

INTERNATIONAL APPLICATION NO.  
PCT/FR00/01692

ATTORNEY'S DOCKET NUMBER

10/01/91

CALCULATIONS PTO USE ONLY

17.  The following fees are submitted:

**BASIC NATIONAL FEE (37 CFR 1.492 (a) (1) - (5)):**

Neither international preliminary examination fee (37 CFR 1.482)  
nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO  
and International Search Report not prepared by the EPO or JPO ..... \$970.00

International preliminary examination fee (37 CFR 1.482) not paid to  
USPTO but International Search Report prepared by the EPO or JPO ..... \$840.00

International preliminary examination fee (37 CFR 1.482) not paid to USPTO but  
international search fee (37 CFR 1.445(a)(2)) paid to USPTO ..... \$690.00

International preliminary examination fee paid to USPTO (37 CFR 1.482)  
but all claims did not satisfy provisions of PCT Article 33(1)-(4) ..... \$670.00

International preliminary examination fee paid to USPTO (37 CFR 1.482)  
and all claims satisfied provisions of PCT Article 33(1)-(4) ..... \$96.00

**ENTER APPROPRIATE BASIC FEE AMOUNT = \$ 840**

Surcharge of \$130.00 for furnishing the oath or declaration later than  20  30 months from the earliest claimed priority date (37 CFR 1.492(e)).

CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE	
Total claims	- 20 =	0	X \$18.00	\$
Independent claims	1 - 3 =	0	X \$78.00	\$

MULTIPLE DEPENDENT CLAIM(S) (if applicable)

**TOTAL OF ABOVE CALCULATIONS = \$**

Reduction of 1/2 for filing by small entity, if applicable. A Small Entity Statement  
must also be filed (Note 37 CFR 1.9, 1.27, 1.28).

**SUBTOTAL = \$**

Processing fee of \$130.00 for furnishing the English translation later than  20  30 months from the earliest claimed priority date (37 CFR 1.492(f)).

**TOTAL NATIONAL FEE = \$ 840**

Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be  
accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property

**TOTAL FEES ENCLOSED = \$ 840**

Amount to be refunded:	\$
charged:	\$

a.  A check in the amount of \$ \_\_\_\_\_ to cover the above fees is enclosed.

b.  Please charge my Deposit Account No. 501275 in the amount of \$ 840 to cover the above fees.  
A duplicate copy of this sheet is enclosed.

c.  The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any  
overpayment to Deposit Account No. 501275. A duplicate copy of this sheet is enclosed.

**NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR  
1.137(a) or (b)) must be filed and granted to restore the application to pending status.**

SEND ALL CORRESPONDENCE TO:

Michael B. Fein  
Cozen O'Connor  
1900 Market Street  
Philadelphia, PA 19103

  
SIGNATURE:  
Michael B. Fein

NAME  
25,333

REGISTRATION NUMBER

10/019161

531 Rec'd PCT/T 19 DEC 2001

Express Mail No. EL147521846US

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE:

APPLICATION OF

Betremieux et al.

TITLE

HYDROPHOBIC CATIONIC DISPERSIONS

STABILIZED BY MALEIMIDE COPOLYMERS

OF LOW MOLECULAR MASS, FOR THE

SIZING OF PAPER

ATTORNEY DOCKET NO. : 119464

To: Box PATENT APPLICATION  
Assistant Commissioner for Patents  
2900 Crystal Drive  
Arlington, VA 22202-3513

**EXPRESS MAIL CERTIFICATE**

Express Mail Mailing Label No. EL147521846US  
Date of Deposit: December 19, 2001

I hereby certify that the following correspondence is being deposited with the United States Postal Service "EXPRESS MAIL POST OFFICE TO ADDRESSEE" service under 37 CFR 1.10 on the date indicated above and is addressed to The Assistant Commissioner of Patents.

Transmittal Letter to the U.S. Designated/Elected Office in duplicate  
Specification & Claims - No. of pages 27  
IDS with enclosures (2)  
Preliminary Amendment  
Certificate of Express Mail

COZEN O'CONNOR

BY: Michael B. Fein

Michael B. Fein  
Reg. No. 25,333

MBF/lh

PATENT APPLICATION SERIAL NO.

10-019161

U.S. DEPARTMENT OF COMMERCE  
PATENT AND TRADEMARK OFFICE  
Fee Record Sheet

01/08/2002 MNNGUYEN 00000145 501275 10019161

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